

Anti-Money Laundering Compliance Seminar



M&K CONSULTING

Successful Strategies for Global Client Solutions

STRENGTHENING AML COMPLIANCE PROGRAMS AMIDST CURRENT GLOBAL CHALLENGES

WHO SHOULD ATTEND

Delegates from the following entities:

- Banks
- Credit Unions
- Insurance Companies
- Money Service Businesses
- Gaming Companies
- Mortgage Brokers
- Lending Agencies
- Regulators
- FIUs
- Registrar of Co-operatives
- Trust Companies
- Accountants
- Lawyers
- Offshore Service Providers
- Brokerage Firms

**November 18th & 19th, 2009
at**

**Grand Royal Antigua Beach Resort
Deep Bay, St. John's, Antigua**

DAY 1 TOPICS

- The evolution of Money Laundering and Terrorist Financing: from the streets to high rise buildings, decorated offices and elegant dressing.
- Critical documentation required by financial and non-financial institutions when establishing relationships with clients. Risks involved in attracting High Net Worth Individuals (HNWIs) and Politically Exposed Persons (PEPs), as well as, establishing accounts for gatekeepers.
- How effective is your Due Diligence Program?
- Avoiding the standard audit deficiencies by learning to identify problems in Audit Programs before regulators do.
- The role and function of Financial Intelligence Units (FIUs) and Regulatory Agencies, and the importance of establishing good working relationships with these entities.
- Panel Discussion: Recent developments in Antigua and Barbuda and other Caribbean jurisdictions and the measures being put in place to safeguard the jurisdictions.



**Accredited by the
Association of Certified
Anti-Money Laundering
Specialists (ACAMS)**

**Delegates will be
awarded 10 CAMS cred-
its!!**

**Endorsed by the
Financial Services Regulatory Commission
(FSRC)
of Antigua & Barbuda**

CONTACT INFORMATION

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DAY 2 TOPICS

- The importance of a tailor-made effective Compliance program amidst challenges faced by non-financial and small institutions.
- Money laundering threats in telecommunications.
- How to improve the quality and filing of Suspicious Activity Reports (SARs).
- Ponzi Schemes: The era of UFOs.
- Round Up Panel - Open forum on the Allen Stanford issue and its effects on Antigua and Barbuda and other offshore jurisdictions.

FEES & REGISTRATION

Standard fee per delegate US\$400.00

Register by November 3, 2009 and qualify for a 10% discount

Additional 15% discount is available for group registration for a minimum of 3 delegates per institution!!

KEY PRESENTERS



Mr. Kem Warner is the Managing Director of KAW Management Services Ltd. and Managing Partner of M&K Consulting, and has a distinguished career in the Financial Services industry that spans more than 12 years. He has held key positions in International Banking and Trust Service companies during his career and is a Fellow of the Institute of Canadian Bankers (FICB), a Certified Anti-Money Laundering Specialist (CAMS) and a Certified Fraud Examiner (CFE).



Ms. Karen O'Brien is the Managing Partner of Global Compliance Solutions, Grand Cayman, and is an accomplished investigator with expertise in Fraud and Financial Crime and has assisted in investigations in the USA, Scotland, Isle of Man and the UK. She is a Certified Anti-Money Laundering specialist (CAMS) and current Chair of the Caribbean Task Force for the Association of Certified Anti-Money Laundering Specialists and is also a member of the International Association of Financial Crimes Investigators.

HOTEL ACCOMMODATION

Book your room directly
at the
Grand Royal Antiguan Beach
Resort
Tel: (268) 462-3733
www.grandroyalantiguan.com



KEY PRESENTERS



Mr. George Roper is the Deputy Executive Director of the Financial Services Commission of Jamaica (FSC). He is a Fellow of the Institute of Chartered Accountants of Jamaica (ICAJ), a Fellow of the UK's Association of Chartered Certified Accountants (ACCA) and holds a BSc. Degree in Management Studies. He is also a Certified Information Systems Auditor (CISA) and was appointed as the FSC's first Deputy Executive Director and acted as Executive Director from January 1, 2008 until January 4, 2009. He was the FSC's first Senior Director of Examination and Investigations.



Mr. Hossam Abd El-Rahman is the Founder and Managing Partner of Allied Compliance Consultants (ACC), a professional Consultancy Firm with over twelve hundred members from all over the world including: Compliance Professionals, AML Officers, Auditors, Risk Managers and Consultants. ACC is dedicated to raising the AML, Compliance, Fraud and Financial Crimes awareness. Hossam translated into Arabic, the CAMS Exam Study Guide and Supplement and Preparation Seminar. He is among the pioneers in setting up the Arabic AML terms and definitions. In addition, he wrote many articles and white papers in the areas of AML, Fraud and Financial Crimes that were published in various international professional magazines.

He is a Certified Fraud Examiner (CFE), Certified Fraud Specialist (CFS), Certified Financial Crimes Investigator (CFCI), Certified Anti-Money Laundering Specialist (CAMS) and Certified Anti-Money Laundering Professional (AMLPL).