



KAW
Management Services Ltd.

4th ANNUAL AML/CFT, Anti-Fraud & Financial Crimes Conference

August 20th & 21st, 2012
at
Sandals Grande Antigua Resort & Spa
Dickenson Bay, St. John's, Antigua



"Minimizing Global Threats through Strategic Compliance and Fraud Prevention"

KEY TOPICS INCLUDE:

- "Money Laundering Related Risks" from the perspective of a former Federal Agent who functioned in under cover capacity for years as a "Money Launderer"
- Wire and mail fraud and how they affect both political corruption and the Foreign Corrupt Practices Act
- Organized crime infiltration into legitimate business for money laundering purposes
- "Can a compliant business make a profit?" - Navigating the tensions between profitability and obeying the law
- Data analysis secrets for compliance professionals
- Whistle while you work: Regulating whistle blowing in the Caribbean workplace
- **Panel Discussion:** Foreign Account Tax Compliance Act (FATCA) - "Its impact on the Caribbean and other jurisdictions"

WHO SHOULD ATTEND

Banks	Credit Unions
Insurance Companies	Gaming Companies
Money Services Businesses	Lending Agencies
Regulators	Mortgage Brokers
Lawyers	Trust Companies
Registrar of Co-operatives	Accountants
FIUs	Brokerage Firms
Law Enforcement Agencies	Offshore Services Providers
Telecommunication Companies	Manufacturing & Retail Companies
Car Dealers	

ACAMS Accredited - CAMS Credits TBA

Contact us

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Website: www.kawmgmt.com

Opportunity to take CAMS Exam in Antigua

FEES & REGISTRATION

- **Standard fee per delegate US\$625.00**
- **Early Early Bird Special:**
Registrations and payments up until March 31, 2012 will receive a 10% discount. Group registrations of three (3) or more individuals from one institution qualify for an additional 5%.
- **Early Bird Special:**
Registrations and payments between April 1st and June 30th, 2012 will receive a 5% discount. Group registrations of three (3) or more individuals from one institution qualify for an additional 5%.

Fees include Conference materials, refreshment breaks and lunch for both days, as well as, Monday night cocktail.



SPEAKERS INCLUDE



Mr. James T. Bagwell is currently the Principal of Bagwell & Associates Investigations, a full-service investigative firm, licensed and insured, serving the States of Louisiana, Texas, Mississippi and Alabama. Mr. Bagwell worked as a U.S. Coast Guard for four (4) years and was also a Senior Staff Accountant for Trulans Corporation in Arlington, Virginia from 1971-1973. Mr. Bagwell has twenty-six (26) years of services in the Federal Bureau of Investigation (FBI) serving as Special Agent, Supervisory Special Agent and Police Training Coordinator and Primary Hostage Coordinator. He has also worked in the U.S. Department of Justice and was a participating Member of the Southeast Alaska Crime & Drug Force.

Presently he is a Police and Security Training Consultant and is the Primary Lead Instructor for Advanced Interactive Systems and Department of Homeland Security. He is also a Consultant on Undercover Matters with U.S. Department of Food & Drug Administration. Mr. Bagwell is a Police Training Consultant on Organized Crime/Terrorism/Undercover matters to the Republic of Serbia.



Mr. Carl Berry is a Detective and an Authorised Financial Investigator who has spent over sixteen (16) years with the Jamaica Constabulary Force (JCF). He is currently attached to the Organized Crime Investigations Division (OCID) where he is the Staff Officer for the division. He holds a Bachelor of Arts Degree from the University of the West Indies, Mona Campus and is currently a candidate at the University of Liverpool (UOL) where he is pursuing the MSc in Psychology and Criminal Investigations.

He has received training and mentorship from the Royal Canadian Mounted Police (RCMP), the Federal Bureau of Investigations (FBI), the French, Columbian and UK Police in cybercrimes investigations, economic crimes, anti-money laundering, counter financing of terrorism, identity theft and other financial crimes.

He was accredited as a Financial Investigator by the Caribbean Anti-Money Laundering Program (CALP) and has since worked in the areas of white collar crime, cybercrimes, stolen motor vehicle, fraud and financial crimes investigations. He is a trained Caribbean Financial Action Task Force (CFATF) Mutual Examiner and a trainer in the Strategic Implementation Process (SIP). He is a senior lecturer at the Caribbean Regional Drug Law-Enforcement Centre (REDTRAC) and at the Staff College of the Jamaica Constabulary Force. Mr. Berry is a community person and sports enthusiast whose ultimate goal is to become a philanthropist.

SPEAKERS INCLUDE



Mr. David Conen is the Tax Director of KPMG Cayman Islands. His responsibilities include the provision of U.S. Tax compliance and advisory services for hedge funds, private equity funds, and private companies. Mr. Conen is the leader of KPMG Cayman Islands' FATCA services and works closely with KPMG's Global FATCA team on engagements for clients based in the Caribbean. He is one of the leaders of KPMG's group of twenty-five (25) tax professionals located in the Cayman Islands. He also provides tax advisory services involving U.S. activities such as review of permanent establishment and US trade or business rules for foreign entities with U.S. activities, and Subpart F considerations.

David is a graduate of The University of North Carolina Charlotte, with a Bachelor degree in Business Administration (Accounting), and is also a Certified Public Accountant. He is also a member of the A.I.C.P.A. David has over fifteen (15) years of experience with KPMG's Vancouver and Cayman Islands offices with respect to U.S. inbound and outbound tax reporting including large compliance assignments requiring both income tax and foreign reporting disclosures. His experience includes twelve (12) months on secondment with a KPMG client leading their U.S. Tax reporting filing for their sixty (60) U.S. Subsidiary corporations involved in real estate development. In addition, David has performed due diligence reviews on several acquisitions and participated in structuring discussions with legal counsel as part of the due diligence process.



Mrs. Cherise Cox-Nottage is presently the Head of the Legal Department for UBS (Bahamas) Ltd. A role which encompasses oversight of the two largest trust companies of the UBS group, namely UBS Trustees (Bahamas) Ltd. and UBS Trustees (Cayman) Ltd. Prior to UBS she held the position of Head of Legal and Compliance for a rival Swiss bank for three (3) years. She has practiced as an Attorney in the UK and in The Bahamas providing legal advice and representation to institutional clients.

Cherise is a graduate of the London School of Economics Political Science (LSE) London University where she obtained a LLM (Master of Laws) degree in subject grouping Commercial and Corporate Law. She also obtained her undergraduate degree in Law from the University of Kent at Canterbury (UKC) England. She is a member of the Bars of England and Wales and The Bahamas; TEP (Trust and Estate Practitioner); Certified CAMS (Certified AML specialist by ACAMS, USA); Int.Dip (Comp), International Diploma in Compliance (with distinction); Int.Dip (AML) International Diploma in Anti-money Laundering (with distinction) from the ICA (International Compliance Association) U.K.

Mrs. Cox-Nottage has published internationally in such publications as *"The Cayman Review"*, and *"ACAMS TODAY"* - (a US compliance magazine) and is a regular contributor to The Bahamas Compliance Association's (BACO's) bi-monthly publication on AML and Compliance matters for the jurisdiction and region. She has also participated in ACAMS' Web-cast Conferences and as a featured speaker at international conferences on AML matters.

SPEAKERS INCLUDE



Mr. Tyrone L. E. Fitzgerald was called to the Bar of England and Wales and the Bar of the Commonwealth of the Bahamas in 1995. He later attended the University of Cambridge, England, where he obtained a Master of Law degree in Corporate and Commercial Law. He has lectured in banking law, trusts, offshore practice and company administration, private client, Anti-Money Laundering and compliance and financial and wealth management at the School of Business at the College of the Bahamas and the Bahamas Institute of Financial Services.

He is a member of the Bahamas Bar Association, International Bar Association, American Bar Association, Bahamas Association of Compliance Officers, Commonwealth Lawyers Association, American Management Association and the Cambridge Commonwealth Trust.



Mr. Juan Llanos is a Certified Anti-Money Laundering Specialist since 2003, he has close to a decade's experience in building and managing AML/CFT and regulatory compliance programs for multiple international jurisdictions, including Canada, Italy, the United States and Spain, and is recognized as a pioneer in the development of compliance and risk management best practices for the money remittance industry.

He is currently VP of Service Operations and Compliance Officer for Unidos Financial Services, Inc., an innovative financial services provider catering to underbanked Hispanics in the US. At Unidos, apart from being responsible for the company's compliance risk management infrastructure, he is also in charge of strategic product development, and the business intelligence, data analytics, business process reengineering, and CRM functions. Previously, he was Chief Compliance Officer of Remesas Quisqueyana, Inc.

Mr. Llanos writes for various financial services publications and speaks frequently at industry forums and events. In August of 2008, Mr. Llanos was granted permanent resident status by the United States Citizenship and Immigration Services by reason of extraordinary ability in the fields of Anti-Money Laundering and Combating the Financing of Terrorism.

SPEAKERS INCLUDE



Mr. Declan Magennis is an Irish national who graduated with an LLB Business Law degree from London Guildhall University and worked in the legal industry in London before returning to Ireland to work in the International Financial Services Centre (IFSC) in Dublin with an American financial services company.

Mr. Magennis then joined Foster McAteer, a specialist insolvency accountancy practice in Dublin before joining Grant Thornton in Dublin as a manager in its Restructuring and Re-Organization Department. He has experience in all forms of insolvency appointments and, in particular, solvent members' voluntary liquidations and has also carried out independent reviews for financial institutions in Ireland. Assignments Declan has worked on include the successful Examinership of Toni & Guy Ireland, the winding-up of funds for corporations such as Merrill Lynch, Bank of America, Wells Fargo and the Cowen Group and restructuring Members' Voluntary Liquidations for corporations such as Hewlett-Packard, Heinz and Rio Tinto.

Mr. Magennis joined KRyS Global in 2010 and has worked on a variety of Court appointed and voluntary liquidations. He is a member of the Association of Chartered Certified Accountants (ACCA), the Cayman Islands Society of Professional Accountants, the Irish Society of Insolvency Practitioners and the Irish Fund Industry



Ms. Keshma Maharaj has been a compliance practitioner for more than eight (8) years and worked with a number of financial institutions in Trinidad.

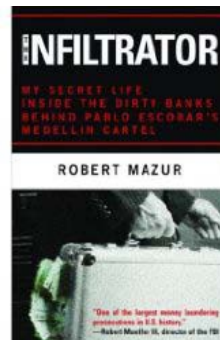
Ms. Maharaj developed compliance programmes for companies in Trinidad and the Eastern Caribbean. She has also presented on Anti-Money Laundering and Counter Financing of Terrorism at various forums in Trinidad and Tobago and the Eastern Caribbean. In addition, Keshma has been a lecturer for the past five (5) years and lectured with a number of tertiary institutions in Trinidad in the areas of management, accounting, business ethics and corporate governance.

Keshma obtained her bachelors degree from the Oxford Brookes University, London. She is a Director and founding member of the Association of Compliance Professionals of Trinidad and Tobago and also a member of the Bankers' Association Sub Committee on Fraud and Anti Money Laundering.

SPEAKERS INCLUDE



Mr. Robert Mazur, a former federal agent, is the author of *"The Infiltrator" - My Secret Life inside the dirty banks behind Pablo Escobar's Medellin Cartel*, a memoir about his undercover life as a money launderer. He is court certified in both the U.S. and Canada as an expert in money laundering. Mr. Mazur's articles about money laundering have been published by many media outlets, including The NY Times. He has also been a major contributor to money laundering related programming aired by PBS, ABC, CNN, and other broadcasters. Mr. Mazur has testified on several occasions before Congress about money laundering threats around the globe. He is now the President of Chase & Associates, an investigative and consulting agency that provides enhanced due diligence and other services throughout the U.S. and many foreign countries. For more information about his book, visit www.The-Infiltrator.com.



Ms. Deborah M. Morrisey is currently the Group Supervisor of the Operation Cornerstone Financial Group for Department of Homeland Security (DHS), Homeland Security Investigations (HSI) in Miami, Florida. The focus of the group is to identify and prosecute criminal organizations that exploit or seek to exploit vulnerabilities within the US financial system. SSA Morrisey has worked for DHS, HSI and its predecessor, US Customs Service since 1988, the majority of her career in the money laundering field in both New York and Florida. SSA Morrisey has conducted numerous investigations, contributed to legislation, written articles and is a regular instructor both in the US and internationally in areas of money laundering, asset forfeiture and financial crimes.

SPEAKERS INCLUDE



Mrs. Karen O'Brien is an accomplished investigator with expertise in fraud and financial crime. Mrs. O'Brien was a police officer for sixteen (16) years with the Toronto Police Service, Ontario, Canada, leaving with the rank of Sergeant after spending the last five (5) years in the Fraud and Forgery Squad. She spent two (2) years investigating financial crime in the Cayman Islands as a Detective with the Royal Cayman Islands Police before branching out to the private sector and specializing in compliance and Know Your Customer issues. Mrs. O'Brien has assisted in investigations in the USA, Scotland, Isle of Man and the UK.

As a professional consultant, Karen has experience in the design and implementation of Anti-Money Laundering policies and procedures, Know-Your Customer program reviews, risk analysis and risk management strategies, and AML training. Mrs O'Brien has created and designed Longbow Compliance Manager TM, a web-based training program and compliance tool that provides Anti-Money Laundering training specific to various jurisdictions.

Mrs. O'Brien is also a Certified Anti-Money Laundering specialist and currently Chair of the Caribbean Task Force for the Association of Certified Anti-Money Laundering Specialists, and she is also a member of the International Association of Financial Crimes Investigators. Mrs. O'Brien is also an Executive Committee Member of the Cayman Islands Compliance Association and sits on the Policy sub-committee.



Mr. George Roper, FCA, FCCA, CISA, BSc. (Hons.) is the Vice President Compliance – Scotiabank Group, a post he assumed on September 1, 2010. In that position he has responsibility for the leadership and oversight of the AML/CFT and financial regulatory compliance programmes of Scotia Bank. Mr. Roper and his team also provide technical support to the compliance officers and units based in Scotia DBG, Scotia Jamaica Life Insurance Co. and Scotia Jamaica Building Society.

Mr. Roper is a Fellow of the Institute of Chartered Accountants of Jamaica (“ICAJ”), a Fellow of the UK’s Association of Chartered Certified Accountants and holds a BSc. degree in Management Studies. He is also a Certified Information Systems Auditor (“CISA”) having extensive experience in financial statements and information systems auditing which was gained while he was at Price Waterhouse, now Pricewaterhouse Coopers, (1984 – 1992).

SPEAKERS INCLUDE



Mr. Steve Salmieri has twenty-nine (29) years of service in the Federal Bureau of Investigation (FBI) and is one of the original undercover agents, Supervisor and Chief of Undercover Operations. He provides consultant work for the FBI, State Department, US Department of Justice, Food and Drug Administration, Police Departments and was Senior Police Advisor to the Republic of Serbia for Covert Operations, Organized Crime and Terrorism.

Mr. Salmieri's projects included Biker Gangs, Corruption, Insurance Fraud, Narcotics, Money Laundering, Murder for Hire, Organized Crime, Property Theft, Terrorism and White Collar Crime. He was selected to formulate the FBI's Undercover Agent Selection and Training Program as well as designing and implementing training programs for Food and Drug Administration, Eastern Europe, Latin America and the Middle East.

Mr. Salmieri is a coordinator for the Terrorist Task Force and Informant Programs, Chief of Undercover Operations (CUO), has managed FBI undercover operations nationally and internationally and was a member of the International Working Group on Police Undercover Activities and liaison with CIA and NSA. He is a frequent lecturer at International Law Enforcement Academy (ILEA) Budapest, Hungary on use of the undercover technique to combat Organized Criminal Organizations.



Mr. Kevin Stephenson is a Senior Financial Sector Specialist with the Financial Market Integrity Unit at the World Bank. He is currently active as the Co-Chair of a FATF (Financial Action Task Force) project on developing a Guidance Note on Financial Investigations. He is also actively engaged in providing technical assistance and capacity building throughout the world on AML/CFT and Asset Recovery matters; he is also the Team Leader of a joint World Bank, UNODC and INTERPOL project looking into the *Illicit Flows from Piracy off the Coast of Somalia*.

Prior to joining the World Bank, he was Anti-Corruption Advisor for the United Nations Integrated Mission in Timor-Leste. He also served as the Director of the Financial Intelligence Centre for the United Nations Interim Administration Mission in Kosovo combating money laundering and terrorism financing. Mr. Stephenson has also worked as a Deputy Attaché for the Department of Homeland Security in New Delhi, India, Assistant Customs Attaché in Berlin, Germany and a Senior Special Agent (Criminal Investigator) for the Department of Treasury (United States Customs Service), serving in New York, New York, American Embassy Skopje, Macedonia, and Fort Myers, Florida investigating money laundering, terrorism financing, drug smuggling, fraud, cyber crimes, weapons smuggling, child pornography and general smuggling. He holds a MS in Criminal Justice from the University of Tennessee. He is a published author, *Barriers to Asset Recovery* and *Asset Recovery*

MODERATOR



Mr. Declan Hill is an investigative journalist, documentary maker and academic. He specializes in the study of organized crime and international issues. His recent book *'The Fix: Soccer & Organized Crime'* examined the corruption at the heart of international football and has become a best-seller in eight languages. Before he published *'The Fix'* he completed documentaries on the killing of the head of the Canadian mafia, blood feuds in Kosovo and ethnic cleansing in Iraq. He has also made documentaries in Kurdistan, Bolivia, India, Mexico, and Turkey. Declan was a Chevening Scholar at Green College, University of Oxford where he obtained his doctorate on the study of match-fixing in professional football.

**All information herein can be subjected to change.*

AML/CFT CONFERENCE 2012 – HOTEL ACCOMMODATIONS



Blue Waters Hotel
Soldiers Bay
St. John's, Antigua
Tel: (268) 462-0290 Fax: (268) 462-0293
Website: www.bluewaters.net

HOTEL ROOM RATES:

Single Occupancy room US\$225.00 per night inclusive of breakfast, afternoon tea and taxes

For direct bookings please indicate **AML Conference to secure the above rate*

Transportation to and from Sandals Grande Resort & Spa can be provided for an additional US\$6.00 per day



Halcyon Cove by Rex Resorts
Dickenson Bay
P.O. Box 251
St. John's, Antigua
Tel: (268) 462-0256 Fax: (268) 462-0271
Website: www.rexresorts.com

HOTEL ROOM RATES:

Single Occupancy room US\$125.00 per night inclusive of breakfast and taxes

For direct bookings please contact **Debbie Scholar or Aretha Shoy or indicate **KAW Management Seminar** to secure the above rate*

KAW Management Services can facilitate hotel reservations for delegates at an additional cost of US\$10.00 administrative fee.