



“Minimizing Global Threats through Strategic Compliance and Fraud Prevention”



Two day Conference will provide extensive and in depth knowledge in AML, CFT, Anti-fraud and Financial Crimes
Opportunity to network with high level professionals and receive continuing education credits

Speakers from Jamaica, Trinidad, Bahamas, BVI, Cayman and United States

PARTICIPANTS WILL BE AWARDED 14 CAMS CREDITS

Opportunity to take CAMS Exam in Antigua

SANDALS GRANDE ANTIGUA RESORT & SPA

DICKENSON BAY, ANTIGUA

August 20th & 21st, 2012

AGENDA HIGHLIGHTS:

- Frauds, Money Laundering and Jail
- Tackling Your Compliance and External Regulatory Challenges Head On
- Protecting You, Your Organization and Your Employees From Your Employees
- Data analysis Secrets for Compliance Professionals
- FATCA and the Key Considerations: “Risk Management, Compliance and the Other Issues Facing Caribbean Financial Entities”
- Puppet Masters (Misuse of Corporate Vehicles) & Barriers to Asset Recovery (Recommendations to Overcome Them)
- Inside the Minds of Money Launderers and the People Trying to Stop Them

WHO SHOULD ATTEND

- | | |
|-----------------------------|----------------------------------|
| Banks | Credit Unions |
| Insurance Companies | Gaming Companies |
| Money Services Businesses | Lending Agencies |
| Regulators | Mortgage Brokers |
| Lawyers | Trust Companies |
| Registrar of Co-operatives | Accountants |
| FIUs | Brokerage Firms |
| Law Enforcement Agencies | Offshore Services Providers |
| Telecommunication Companies | Manufacturing & Retail Companies |
| Car Dealers | |

Contact us

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FEES & REGISTRATION

Standard fee per delegate US\$625.00

Fees include Conference materials, refreshment breaks and lunch for both days, as well as, Monday night cocktail.

MODERATOR



Mr. Declan Hill is an investigative journalist, documentary maker and academic. He specializes in the study of organized crime and international issues. His recent book *'The Fix: Soccer & Organized Crime'* examined the corruption at the heart of international football and has become a best-seller in eight languages. Before he published *'The Fix'* he completed documentaries on the killing of the head of the Canadian mafia, blood feuds in Kosovo and ethnic cleansing in Iraq. He has also made documentaries in Kurdistan, Bolivia, India, Mexico, and Turkey. Declan was a Chevening Scholar at Green College, University of Oxford where he obtained his doctorate on the study of match-fixing in professional football.

CONFERENCE KICK START SPEAKER



In addition to holding a doctorate in Psychology from the University of Houston, **Dr. Bill Crawford** is a licensed psychologist, author of four books, organizational consultant and speaker. Over the last twenty-six (26) years he has created over 3,300 presentations for such organizations as Sprint, Shell, The Texas Medical Center, PBS, and many other organizations and professional associations nationwide. He has a unique perspective on achieving success and he shares this philosophy with such humor and energy he is constantly referred to as the "Steve Martin" of psychologists. In addition, his two PBS specials have been seen by over 15 million people and he has been quoted as an expert in such diverse publications as The New York Times, Entrepreneur, Working Mother, The Chicago Tribune, Investor's Business Daily, The Dallas Morning News, and Cosmopolitan just to name a few.

As the Conference Kick Start Speaker, Dr. Crawford will captivate the audience with his talk on:

Getting Others to Get It! - New Information on the Science of Influence & Persuasion

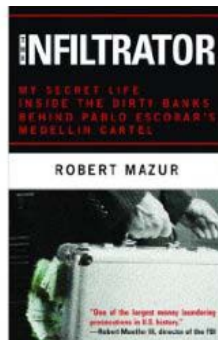
Have you ever tried to communicate important information to someone, only to have them become resistant, defensive, and/or argumentative? Regardless of the situation, this can be a big problem, because either you spend way too much time trying to convince them of the value of your perspective, or you just get into a debate around "who's right?" In "Getting Others to Get It," Dr. Crawford goes way beyond "good communication skills" by showing participants what is really happening when others are being resistant and why just continuing to give them data doesn't work! Finally, he will show you how to motivate others to not only hear what you are saying as valuable information, but also how to take more responsibility by acting on your suggestions.

No matter your job skill or role in the organization, communication is an important tool. This short presentation will not only sharpen this skill, but will also provide advanced techniques, which will help to reduce communication barriers.

KEY NOTE SPEAKERS



Mr. Robert Mazur, a former federal agent, is the author of *"The Infiltrator" - My Secret Life inside the dirty banks behind Pablo Escobar's Medellin Cartel*, a memoir about his undercover life as a money launderer. He is court certified in both the U.S. and Canada as an expert in money laundering. Mr. Mazur's articles about money laundering have been published by many media outlets, including The NY Times. He has also been a major contributor to money laundering related programming aired by PBS, ABC, CNN, and other broadcasters. Mr. Mazur has testified on several occasions before Congress about money laundering threats around the globe. He is now the President of Chase & Associates, an investigative and consulting agency that provides enhanced due diligence and other services throughout the U.S. and many foreign countries. For more information about his book, visit www.TheInfiltrator.com.



Just a few years ago, mafia boss, **Mr. Michael Franzese** was named one of the biggest money earners the mob had seen since Al Capone, by *Vanity Fair*. At the age of 35, *Fortune Magazine* listed him as number 18 on its list of the "Fifty Most Wealthy and Powerful Mafia Bosses", just 5 behind John Gotti. Mr. Franzese masterminded brilliant scams on the edge of the legitimate business world. From auto dealerships and union kickbacks, to financial services and the sports and entertainment industries, to a multi-billion dollar gasoline tax scheme, he earned millions in cash every week at his peak. Mr. Franzese quickly became the target of Manhattan's famed federal prosecutor, Rudy Giuliani.

His autobiography, *Blood Covenant*, Michael reveals answers to many mysteries surrounding his incredible journey. Walk the streets with him to find out how he has done what no one else has managed to do. His newest book, *I'll Make You An Offer You Can't Refuse*, was just released by Thomas Nelson, and contains insider business tips from the former mob boss.

SPEAKERS



Mr. Malcolm Arthurs is an attorney at law practicing in the British Virgin Islands for the firm, Martin Kenney & Co., Solicitors, a specialist investigative law firm. Mr. Arthurs joined Martin Kenney & Co in 2010 as a barrister, having substantial experience in commercial litigation and cross border insolvency. He studied English and Law and obtained his BA & LLB at the University of the West Indies. In 2003 Mr. Arthurs graduated from the Norman Manley law School and started to practice at the Jamaican law firm Rattray Patterson Rattray. In 2006 Mr. Arthurs moved to the British Virgin Islands and prior to joining Martin and Kenney and Co. Solicitors, Mr. Arthurs worked at O'Neal Webster where he specialized in complex commercial insolvencies, intellectual property law and probate and estate practice. At Martin Kenney and Co., Solicitors his practice focuses on fraud investigation and asset recovery and he has garnered substantial experience in freezing assets and obtaining compulsory discovery orders in aid of asset recovery. He currently represents clients with interests in Jamaica, Antigua, the BVI, Russia, Slovakia, Ukraine, Cyprus, Lichtenstein, the Middle East and the United States of America. Mr. Arthurs is admitted to practice in Jamaica, the British Virgin Islands, Dominica, St. Vincent and the Grenadines, and Antigua & Barbuda. He currently acts as co-counsel in the Stanford International Bank matter originating out of Antigua and Barbuda.



Mr. James T. Bagwell is currently the Principal of Bagwell & Associates Investigations, a full-service investigative firm, licensed and insured, serving the States of Louisiana, Texas, Mississippi and Alabama. Mr. Bagwell worked as a U.S. Coast Guard for four (4) years and was also a Senior Staff Accountant for Trulans Corporation in Arlington, Virginia from 1971-1973. Mr. Bagwell has twenty-six (26) years of service in the Federal Bureau of Investigation (FBI) serving as Special Agent, Supervisory Special Agent and Police Training Coordinator and Primary Hostage Coordinator. He has also worked in the U.S. Department of Justice and was a participating Member of the Southeast Alaska Crime & Drug Force.

Presently he is a Police and Security Training Consultant and is the Primary Lead Instructor for Advanced Interactive Systems and Department of Homeland Security - Consultant on Undercover matters with U.S. Department of Food & Drug Administration. Mr. Bagwell is a Police Training Consultant on Organized Crime/Terrorism/Undercover matters to the Republic of Serbia.

SPEAKERS CONT'



Mr. Carl Berry is a Detective and an Authorised Financial Investigator who has spent over sixteen (16) years with the Jamaica Constabulary Force (JCF). He is currently attached to the Organized Crime Investigations Division (OCID) where he is the Staff Officer for the division. He holds a Bachelor of Arts Degree from the University of the West Indies, Mona Campus and is currently a candidate at the University of Liverpool (UOL) where he is pursuing the MSc in Psychology and Criminal Investigations.

He has received training and mentorship from the Royal Canadian Mounted Police (RCMP), the Federal Bureau of Investigations (FBI), the French, Columbian and UK Police in cybercrimes investigations, economic crimes, anti-money laundering, counter financing of terrorism, identity theft and other financial crimes.

He was accredited as a Financial Investigator by the Caribbean Anti-Money Laundering Program (CALP) and has since worked in the areas of white collar crime, cybercrimes, stolen motor vehicle, fraud and financial crimes investigations. He is a trained Caribbean Financial Action Task Force (CFATF) Mutual Examiner and a trainer in the Strategic Implementation Process (SIP). He is a senior lecturer at the Caribbean Regional Drug Law-Enforcement Centre (REDTRAC) and at the Staff College of the Jamaica Constabulary Force. Mr. Berry is a community person and sports enthusiast whose ultimate goal is to become a philanthropist.



Mr. David Conen is the Tax Director of KPMG Cayman Islands. His responsibilities include the provision of U.S. Tax compliance and advisory services for hedge funds, private equity funds, and private companies. Mr. Conen is the leader of KPMG Cayman Islands' FATCA services and works closely with KPMG's Global FATCA team on engagements for clients based in the Caribbean. He is one of the leaders of KPMG's group of twenty-five (25) tax professionals located in the Cayman Islands. He also provides tax advisory services involving U.S. activities such as review of permanent establishment and US trade or business rules for foreign entities with U.S. activities, and Subpart F considerations.

David is a graduate of The University of North Carolina Charlotte, with a Bachelor degree in Business Administration (Accounting), and is also a Certified Public Accountant. He is also a member of the A.I.C.P.A. David has over fifteen (15) years of experience with KPMG's Vancouver and Cayman Islands offices with respect to U.S. inbound and outbound tax reporting including large compliance assignments requiring both income tax and foreign reporting disclosures. His experience includes twelve (12) months on secondment with a KPMG client leading their U.S. Tax reporting filing for their sixty (60) U.S. Subsidiary corporations involved in real estate development. In addition, David has performed due diligence reviews on several acquisitions and participated in structuring discussions with legal counsel as part of the due diligence process.

SPEAKERS CONT'



Mrs. Cherise Cox-Nottage is presently the Head of the Legal Department for UBS (Bahamas) Ltd. A role which encompasses oversight of the two largest trust companies of the UBS group, namely UBS Trustees (Bahamas) Ltd. and UBS Trustees (Cayman) Ltd. Prior to UBS she held the position of Head of Legal and Compliance for a rival Swiss bank for three (3) years. She has practiced as an Attorney in the UK and in The Bahamas providing legal advice and representation to institutional clients.

Cherise is a graduate of the London School of Economics Political Science (LSE) London University where she obtained a LLM (Master of Laws) degree in subject grouping Commercial and Corporate Law. She also obtained her undergraduate degree in Law from the University of Kent at Canterbury (UKC) England. She is a member of the Bars of England and Wales and The Bahamas; TEP (Trust and Estate Practitioner); Certified CAMS (Certified AML specialist by ACAMS, USA); Int.Dip (Comp), International Diploma in Compliance (with distinction); Int.Dip (AML) International Diploma in Anti-money Laundering (with distinction) from the ICA (International Compliance Association) U.K.

Mrs. Cox-Nottage has published internationally in such publications as *"The Cayman Review"*, and *"ACAMS TODAY"* - (a US compliance magazine) and is a regular contributor to The Bahamas Compliance Association's (BACO's) bi-monthly publication on AML and Compliance matters for the jurisdiction and region. She has also participated in ACAMS' Web-cast Conferences and as a featured speaker at international conferences on AML matters.



Mr. Tyrone L. E. Fitzgerald was called to the Bar of England and Wales and the Bar of the Commonwealth of the Bahamas in 1995. He later attended the University of Cambridge, England, where he obtained a Master of Law degree in Corporate and Commercial Law. He has lectured in banking law, trusts, offshore practice and company administration, private client, Anti-Money Laundering and compliance and financial and wealth management at the School of Business at the College of the Bahamas and the Bahamas Institute of Financial Services.

He is a member of the Bahamas Bar Association, International Bar Association, American Bar Association, Bahamas Association of Compliance Officers, Commonwealth Lawyers Association, American Management Association and the Cambridge Commonwealth Trust.

SPEAKERS CONT'



Mr. Juan Llanos is a Certified Anti-Money Laundering Specialist since 2003, he has close to a decade's experience in building and managing AML/CFT and regulatory compliance programs for multiple international jurisdictions, including Canada, Italy, the United States and Spain, and is recognized as a pioneer in the development of compliance and risk management best practices for the money remittance industry.

He is currently VP of Service Operations and Compliance Officer for Unidos Financial Services, Inc., an innovative financial services provider catering to underbanked Hispanics in the US. At Unidos, apart from being responsible for the company's compliance risk management infrastructure, he is also in charge of strategic product development, and the business intelligence, data analytics, business process reengineering, and CRM functions. Previously, he was Chief Compliance Officer of Remesas Quisqueyana, Inc.

Mr. Llanos writes for various financial services publications and speaks frequently at industry forums and events. In August of 2008, Mr. Llanos was granted permanent resident status by the United States Citizenship and Immigration Services by reason of extraordinary ability in the fields of Anti-Money Laundering and Combating the Financing of Terrorism.



Mr. Declan Magennis is an Irish national who graduated with an LLB Business Law degree from London Guildhall University and worked in the legal industry in London before returning to Ireland to work in the International Financial Services Centre (IFSC) in Dublin with an American financial services company.

Mr. Magennis then joined Foster McAteer, a specialist insolvency accountancy practice in Dublin before joining Grant Thornton in Dublin as a manager in its Restructuring and Re-Organization Department. He has experience in all forms of insolvency appointments and, in particular, solvent members' voluntary liquidations and has also carried out independent reviews for financial institutions in Ireland. Assignments Declan has worked on include the successful Examinership of Toni & Guy Ireland, the winding-up of funds for corporations such as Merrill Lynch, Bank of America, Wells Fargo and the Cowen Group and restructuring Members' Voluntary Liquidations for corporations such as Hewlett-Packard, Heinz and Rio Tinto.

Mr. Magennis joined KRYS Global in 2010 and has worked on a variety of Court appointed and voluntary liquidations. He is a member of the Association of Chartered Certified Accountants (ACCA), the Cayman Islands Society of Professional Accountants, the Irish Society of Insolvency Practitioners and the Irish Fund Industry Association.

SPEAKERS CONT'



Ms. Keshma Maharaj has been a compliance practitioner for more than eight (8) years and worked with a number of financial institutions in Trinidad.

Ms. Maharaj developed compliance programmes for companies in Trinidad and the Eastern Caribbean. She also presented on Anti-Money Laundering at Counter Financing of Terrorism at various forums in Trinidad and Tobago and the Eastern Caribbean. In addition, Keshma has been a lecturer for the past five (5) years and lectured with a number of tertiary institutions in Trinidad in the areas of management, accounting, business ethics, and corporate governance.

Keshma obtained her bachelors degree from the Oxford Brookes University, London. She is a Director and founding member of the Association of Compliance Professional of Trinidad and Tobago and also a member of the Bankers' Association Sub Committee on Fraud and Anti Money Laundering.



Ms. Deborah M. Morrissey is currently the Group Supervisor of the Operation Cornerstone Financial Group for Department of Homeland Security (DHS), Homeland Security Investigations (HSI) in Miami, Florida. The focus of the group is to identify and prosecute criminal organizations that exploit or seek to exploit vulnerabilities within the US financial system. SSA Morrissey has worked for DHS, HSI and its predecessor, US Customs Service since 1988, the majority of her career in the money laundering field in both New York and Florida. SSA Morrissey has conducted numerous investigations, contributed to legislation, written articles and is a regular instructor both in the US and internationally in areas of money laundering, asset forfeiture and financial crimes.

SPEAKERS CONT'



Mrs. Karen O'Brien is an accomplished investigator with expertise in fraud and financial crime. Mrs. O'Brien was a police officer for sixteen (16) years with the Toronto Police Service, Ontario, Canada, leaving with the rank of Sergeant after spending the last five (5) years in the Fraud and Forgery Squad. She spent two (2) years investigating financial crime in the Cayman Islands as a Detective with the Royal Cayman Islands Police before branching out to the private sector and specializing in compliance and Know Your Customer issues. Mrs. O'Brien has assisted in investigations in the USA, Scotland, Isle of Man and the UK.

As a professional consultant, Karen has experience in the design and implementation of Anti-Money Laundering policies and procedures, Know-Your Customer program reviews, risk analysis and risk management strategies, and AML training. Mrs O'Brien has created and designed Longbow Compliance Manager TM, a web-based training program and compliance tool that provides Anti-Money Laundering training specific to various jurisdictions.

Mrs. O'Brien is also a Certified Anti-Money Laundering specialist and currently Chair of the Caribbean Task Force for the Association of Certified Anti-Money Laundering Specialists, and she is also a member of the International Association of Financial Crimes Investigators. Mrs. O'Brien is also an Executive Committee Member of the Cayman Islands Compliance Association and sits on the Policy sub-committee.



Mr. George Roper, FCA, FCCA, CISA, BSc. (Hons.) is the Vice President Compliance – Scotiabank Group, a post he assumed on September 1, 2010. In that position he has responsibility for the leadership and oversight of the AML/CFT and financial regulatory compliance programmes of Scotia Bank. Mr. Roper and his team also provide technical support to the compliance officers and units based in Scotia DBG, Scotia Jamaica Life Insurance Co. and Scotia Jamaica Building Society.

Mr. Roper is a Fellow of the Institute of Chartered Accountants of Jamaica (“ICAJ”), a Fellow of the UK’s Association of Chartered Certified Accountants and holds a BSc. degree in Management Studies. He is also a Certified Information Systems Auditor (“CISA”) having extensive experience in financial statements and information systems auditing which was gained while he was at Price Waterhouse, now Pricewaterhouse Coopers, (1984 – 1992).

SPEAKERS CONT'



Mr. Steve Salmieri has twenty-nine (29) years of service in the Federal Bureau of Investigation (FBI) and is one of the original undercover agents, Supervisor and Chief of Undercover Operations. He provides consultant work for the FBI, State Department, US Department of Justice, Food and Drug Administration, Police Departments and was Senior Police Advisor to the Republic of Serbia for Covert Operations, Organized Crime and Terrorism.

Mr. Salmieri's projects included Biker Gangs, Corruption, Insurance Fraud, Narcotics, Money Laundering, Murder for Hire, Organized Crime, Property Theft, Terrorism and White Collar Crime. He was selected to formulate the FBI's Undercover Agent Selection and Training Program as well as designing and implementing training programs for Food and Drug Administration, Eastern Europe, Latin America and the Middle East.

Mr. Salmieri is a coordinator for the Terrorist Task Force and Informant Programs, Chief of Undercover Operations (CUO), has managed FBI undercover operations nationally and internationally and was a member of the International Working Group on Police Undercover Activities and liaison with CIA and NSA. He is a frequent lecturer at International Law Enforcement Academy (ILEA) Budapest, Hungary on use of the undercover technique to combat Organized Criminal Organizations.



Mr. Kevin Stephenson is a Senior Financial Sector Specialist with the Financial Market Integrity Unit at the World Bank. He is currently active as the Co-Chair of a FATF (Financial Action Task Force) project on developing a Guidance Note on Financial Investigations. He is also actively engaged in providing technical assistance and capacity building throughout the world on AML/CFT and Asset Recovery matters; he is also the Team Leader of a joint World Bank, UNODC and INTERPOL project looking into the *Illicit Flows from Piracy off the Coast of Somalia*.

Prior to joining the World Bank, he was Anti-Corruption Advisor for the United Nations Integrated Mission in Timor-Leste. He also served as the Director of the Financial Intelligence Centre for the United Nations Interim Administration Mission in Kosovo combating money laundering and terrorism financing. Mr. Stephenson has also worked as a Deputy Attaché for the Department of Homeland Security in New Delhi, India, Assistant Customs Attaché in Berlin, Germany and a Senior Special Agent (Criminal Investigator) for the Department of Treasury (United States Customs Service), serving in New York, New York, American Embassy Skopje, Macedonia, and Fort Myers, Florida investigating money laundering, terrorism financing, drug smuggling, fraud, cyber crimes, weapons smuggling, child pornography and general smuggling. He holds a MS in Criminal Justice from the University of Tennessee. He is a published author, *Barriers to Asset Recovery* and *Asset Recovery*

**All information herein can be subjected to change.*